

SR NO	FIELD NAME	FIELD TYPE	SIZE	MANDATORY/ NON MANDATORY	REMARKS
1	PAN_RP	Varchar	10	M	PAN of the Investor / Guardian as mentioned in the Client Master (UCC
2	PEKRN	Varchar	10	O	PAN Exempt KYC Ref No. of the Investor/Guardian - Mandatory if PAN is not provided
3	INV_NAME	Varchar	70	M	Name of the Investor / Guardian - Mandatory
4	DOB	Date		O	Format: DD/MM/YYYY Date of Birth/ Date of Incorporation
5	FR_NAME	Varchar	70	O	
6	SP_NAME	Varchar	70	O	
7	TAX_STAT US	Varchar	3	M	Tax Status of the investor - Refer Tax Status Master Identify Individual/ Non individual using this Value
8	DATA_SRC	Varchar	3	M	This is to indicated data sources whether it is obtained Electronically or through physical request; Values - 'E' / 'P'
9	ADDR_TYP E	Varchar	1	M	1 - Residential or Business; 2 - Residential; 3 - Business; 4 - Registered Office; 5 - Unspecified
10	PO_BIR_INC	Varchar	60	M	Place of Birth/ Incorporation. This field is mandatory and should not be same as country of birth (free text)
11	CO_BIR_INC	Varchar	50	M	Country of Birth/ Incorporation, must contain a valid Country Code as per ISO-3166 standards
12	TAX_RES1	Varchar	50	M	Residence Country
13	TPIN1	Varchar	20	M	Tax Payer Identification Number / other Equivalent Number
14	ID1_TYPE	Varchar	2	M	Type of Identification document to be provided - Refer Identification Type master
15	TAX_RES2	Varchar	50	O	Refer Country/Nationality master enclosed and provide values accordingly
16	TPIN2	Varchar	20	O	Tax Payer Identification Number / other Equivalent Number
17	ID2_TYPE	Varchar	2	O	Type of Identification document to be provided - Refer Identification Type master
18	TAX_RES3	Varchar	50	O	Refer Country/Nationality master enclosed and provide values accordingly
19	TPIN3	Varchar	20	O	Tax Payer Identification Number / other Equivalent Number
20	ID3_TYPE	Varchar	2	O	Type of Identification document to be provided - Refer Identification Type master
21	TAX_RES4	Varchar	50	O	Refer Country/Nationality master enclosed and provide values accordingly
22	TPIN4	Varchar	20	O	Tax Payer Identification Number / other Equivalent Number
23	ID4_TYPE	Varchar	2	O	Type of Identification document to be provided - Refer Identification Type master
24	SRCE_WEALT	Varchar	3	M	Refer FATCA – Wealth Source Code.

25	CORP_SERVS	Varchar	2	M for Non-Individuals	01 - Foreign Exchange / Money Changer Services 02 - Gaming / Gambling / Lottery Services [e.g. casinos, betting syndicates] 03 - Money laundering / Pawning 04 – to be blank if the same is not applicable
26	INC_SLAB	Varchar	5	M	Refer -Applicant _Income_Range
27	NET_WORTH	Numeric	15,2 19,2	M for Non-Individuals	Provide the net worth of the investor only if the same is Corporate Category [INR. In Lacs]
28	NW_DATE	Date		M for Non-Individuals	Provide the net worth of the investor(DD/MM/YYYY)
29	PEP_FLAG	Varchar	2	M for Individuals	Values to be 'Y' if the investor is politically exposed person; 'N' if the investor is not; 'R' if the investor is a relative of the politically exposed person
30	OCC_CODE	Varchar	2	M	Values to be provided as per the Occupation Master enclosed
31	OCC_TYPE	Varchar	1	M	S - Service; B - Business, O - Others; X - Not Categorized
32	EXEMP_CODE	Varchar	2	M for Non-Individuals	Provide the values as specified under 'Exemption Code' sheet
33	FFI_DRNFE	Varchar	20	M for Non-Individuals	Values - FFI, DRNFE, NA
34	GIIN_NO	Varchar	19	M for Non-Individuals	Applicable to FFI, DRNFE, NA
35	SPR_ENTITY	Varchar	60	O	Name of the Sponsoring Entity if GIIN_NO of Sponsoring Entity is given
36	GIIN_NA	Varchar	3	O	Value to be provided: AF - Applied for; NR - Not required to apply for; NO - Not obtained - Non-participating FI
37	GIIN_EXEM C	Varchar	2	O	Refer GIIN_EXEMPT sheet and applicable codes to be populated
38	NFFE_CAT	Varchar	3	O/M O for Individuals M for HUF & Non-Individual	Refer NFE Category master
39	ACT_NFE_SC	Varchar	3	O/M M for Active	Refer NFE Sub Category master
40	NATURE_BUS	Varchar	30	M if NFFE_CATG is 'L', 'RL', 'A' or 'P'	Applicable for Active NFE & Passive NFE
41	REL_LISTED	Varchar	70	O	Name of the Listed Company where Entity is its associate / related company
42	EXCH_NAME	Varchar	2	M	Only allow O – Others

43	UBO_APPL	Varchar	2	M	Values should be 'Y' / 'N'. Default - 'Y' for Entities for other than Listed Company / Group / Associate Company of the Listed Company / Company controlled by Listed Company IF Tax Status Category="Non- Individual" OR Tax Status='HUF' Then It can be 'Y' or 'N' Else It should be 'N'
44	UBO_COUNT	Varchar	3	M [if UBO_APPL is 'Y'	Provide the count of UBO, if the value is more than '1', then multiple rows to be provided as per the count i.e. if the count is 2, additional one row with same investor PAN and only UBO details will be different
45	UBO_NAME	Varchar	70	M [if UBO_APPL is 'Y'	Name of the UBO
46	UBO_PAN	Varchar	10	M / O	PAN of UBO to be provided
47	UBO_NATION	Varchar	3	M [if UBO_APPL is 'Y'	Refer Country/Nationality master enclosed and provide values accordingly
48	UBO_ADD 1	Varchar	50	M [if UBO_APPL is 'Y'	
49	UBO_ADD 2	Varchar	50	M [if UBO_APPL is 'Y'	
50	UBO_ADD 3	Varchar	50	M [if UBO_APPL is 'Y'	
51	UBO_CITY	Varchar	50	M [if UBO_APPL is 'Y'	
52	UBO_PIN	Varchar	6	M [if UBO_APPL is 'Y'	
53	UBO_STATE	Varchar	3	M [if UBO_APPL is 'Y'	State Code to be provided as per the existing practice
54	UBO_CNTRY	Varchar	4	M [if UBO_APPL is 'Y'	Refer Country/Nationality master enclosed and provide values accordingly
55	UBO_ADD_TY	Varchar	2	M [if UBO_APPL is 'Y'	1 - Residential or Business; 2 - Residential; 3 - Business; 4 - Registered Office; 5 - Unspecified
56	UBO_CTR	Varchar	4	M [if UBO_APPL is 'Y'	UBO's Country of Tax Residency - Refer Country/Nationality master enclosed and provide values accordingly
57	UBO_TIN	Varchar	20	M / O [if UBO_PAN provided, this field is mandatory]	UBO's Tax Payer Identification Number or any other relevant reference number
58	UBO_ID_TY	Varchar	2	M [if UBO_APPL is 'Y'	Refer Identification type master given
59	UBO_COB	Varchar	30	M [if UBO_APPL is 'Y'	UBO Country of Birth---Refer Country/Nationality master

60	UBO_DOB	Date		O	UBO Date of Birth Format :DD/MM/YYYY
61	UBO_GENDER	Varchar	1	O	Value to be provided as 'M' or 'F' or 'O'
62	UBO_FR_NAM	Varchar	50	O	UBO Father's name to be provided
63	UBO_OCC	Varchar	2	O	As per Occupation List
64	UBO_OCC_TY	Varchar	2	O	S - Service; B - Business, O - Others; X - Not Categorized - Refer Applicable, Occupation Type for the relevant Occupation, Codes under 'Occupation' sheet
65	UBO_TEL	Varchar	12	O	UBO Telephone Number
66	UBO_MOBILE	Varchar	12	O	UBO Mobile Number
67	UBO_CODE	Varchar	3	M	Code as per master given in UBO_CODE sheet
68	UBO_HOL_PC	Varchar	3	O	Should not be greater than 100 and less than 0
69	SDF_FLAG	Varchar	2	M / O	This flag is to indicate whether Values - 'Y', 'N' - Default 'Y' for Entities; Optional for Individuals,
70	UBO_DF	Varchar	2	M	Values - 'Y', 'N' - Default 'Y' for Entities and 'N' for Individuals
71	AADHAAR_RP	Varchar	30	O	AADHAAR of the Reporting Person shall be provided
72	NEW_CHANGE	Varchar	2	O	N- New - This value should be updated for first time update by the channel distributors for the given PAN C- Change - This Value should be provided for change in already provided information if CP is aware of that this is change in the existing information In case of 'C', Channel should provide complete data with 'C' option.
73	LOG_NAME	Varchar	50	M / O	Mandatory if DATA_SRC is 'E'
74	UBO_EXCH	Varchar	2	Conditional mandatory	B - BSE, N - NSE, O - Others UBO_Appl is "N" and UBO_Df is "Y" then applicable and If the company is Listed in more than exchanges, provide anyone
75	UBO_ISIN	Varchar	30	Conditional mandatory	UBO_Appl is "N" and UBO_Df is "Y" then applicable
76	UBO_REL_LI	Varchar	70	Conditional mandatory	UBO_Appl is "N" and UBO_Df is "Y" then applicable Name of the Listed Company where Entity is its associate / related company
77	UBO_Categ	Varchar	30	Conditional mandatory	UBO_Appl is "Y" then applicable "UBO" or "SMO" to be provided as per detailed given
78	UBO_PEP_FL	Varchar	2	Conditional mandatory	UBO_Appl is "Y" then applicable. Values to be 'Y' if the investor is politically exposed person; 'N' if the investor is not; 'R' if the investor is a relative of the politically exposed person
79	UBO_Email	Varchar	30	O	
80	UBO_SMO_De	Varchar	30	M Conditional mandatory	Who not holds direct/indirect controlling ownership then need to provided SMO Designation. If, UBO_Categ – SMO then Mandatory
81	NPO_FORM	Varchar	2	M	Applicable values are Y, N "PAN_RP" mandatory to provide/update NPO details
82	NPO_DCL	Varchar	2	Conditional mandatory	Mandatory if the above NPO_FORM flag is Y. Applicable values are Y or N.

83	NPO_RGN O	Varchar	50	Conditional mandatory	Mandatory if the above NPO_DCL flag is Y. (Eg. WB/2024/0421591 – NGO' State Code/Year/Unique Number)
84	CTR_DCL	Character	1	Mandatory	Applicable if Country tax residency is declared other than India - Value should be Y or N. If Value is Y, CTR should be other than IN. If value is N, CTR/ID TYPE/TIN should be blank
85	UBO_POB	Character	30	Conditional mandatory	UBO place of birth, Mandatory if UBO_APPL = Y
86	CTR_1_FROM_DATE	Date		Conditional mandatory	CTR1 Tax residency Applicable from (MM/DD/YYYY). If From_Date is not available, "Entry date" (Sys date) to be passed.
87	CTR_1_TO_DATE	Date		Conditional mandatory	CTR1 Tax residency Applicable To(MM/DD/YYYY) If To_Date is not available, "31-12-2099" to be passed.
88	CTR_2_FROM_DATE	Date		Conditional mandatory	CTR2 Tax residency Applicable from (MM/DD/YYYY). If From_Date is not available, "Entry date" (Sys date) to be passed.
89	CTR_2_TO_DATE	Date		Conditional mandatory	CTR2 Tax residency Applicable To(MM/DD/YYYY) If To_Date is not available, "31-12-2099" to be passed.
90	CTR_3_FROM_DATE	Date		Conditional mandatory	CTR3 Tax residency Applicable from (MM/DD/YYYY). If From_Date is not available, "Entry date" (Sys date) to be passed.
91	CTR_3_TO_DATE	Date		Conditional mandatory	CTR3 Tax residency Applicable To(MM/DD/YYYY) If To_Date is not available, "31-12-2099" to be passed.
92	CTR_4_FROM_DATE	Date		Conditional mandatory	CTR4 Tax residency Applicable from (MM/DD/YYYY). If From_Date is not available, "Entry date" (Sys date) to be passed.
93	CTR_4_TO_DATE	Date		Conditional mandatory	CTR4 Tax residency Applicable To(MM/DD/YYYY) If To_Date is not available, "31-12-2099" to be passed.
94	AMC_Code	Character	3	Mandatory	AMC Fund Code
95	NAT_IND	Character	3	Conditional Mandatory	Nationality for individuals (Mandatory for individuals)
96	Filler13	Character	50		
97	Filler14	Character	50		
98	Filler15	Character	50		
99	Filler16	Character	50		
100	Filler17	Character	50		